



PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0851-0031

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 7

**Complete If Known**

Application Number	10/759,746
Filing Date	January 16, 2004
First Named Inventor	E. Fernandez-Salas
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	ALLE0014-104 (17335CIP4(BOT))

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
CYW	AA	US-6,458,365	10/01/2002	Aoki, et al	
	AB	US-5,766,605	06/16/1998	Sanders et al	
	AC	US-5,714,468	02/03/1998	Dao	
	AD	US-6,464,986	10/15/2002	Aoki et al	
	AE	US-6,113,915	09/05/2000	Aoki et al	
	AF	US-6,306,403	10/23/2001	Donovan	
	AG	US-6,299,893	10/09/2001	Schwartz et al	
	AH	US-5,670,484	09/23/1997	Binder	
	AI	US-6,423,319	07/23/2002	Brooks et al	
	AJ	US-6,139,845	10/31/2000	Donovan	
	AK	US-6,143,306	11/07/2000	Donovan	
	AL	US-5,437,291	08/01/1995	Pasricha et al	
	AM	US-6,365,164	04/02/2002	Schmidt	
	AN	US-6,063,768	05/16/2000	First	
	AO	US-6,395,277	05/28/2002	Graham	
	AP	US-6,265,379	07/24/2001	Donovan	
	AQ	US-6,358,513	03/19/2002	Voet et al	
	AR	US-6,328,977	12/11/2001	Donovan	
	AS	US-6,306,423	10/23/2001	Donovan	
	AT	US-6,312,708	11/06/2001	Donovan	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
CYW	BD	WO94/15629	07/21/94	AS Synapse Biol.		

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Date  
Considered

04/24/2006

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Sheet 2 of 7

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Filing Date	January 16, 2004
First Named Inventor	E. Fernandez-Salas
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Examiner Name	Not yet Assigned
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		Number - Kind Code <sup>2</sup> (if known)			
CYW ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓	AU	US-5,053,005	10/01/1991	Borodic	
	AV	US- 5,174,468	02/03/1998	Binder	
	AW	US- 5,721,215	02/24/1998	Aoki et al	
	AX	US- 5,989,545	11/23/1999	Foster et al	
	AY	US- 6,113,915	09/05/2000	Aoki et al	
	AZ	US- 5,939,070	08/17/1999	Johnson et al	
	BA	US- 5,768,605	08/16/1998	Sanders et al	
	BB	US- 5,437,291	08/11/1995	Pasricha et al	
	BC	US- 4,932,936	06/12/1990	Dykstra et al	
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Sheet **3** of **7****Complete if Known**

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Filing Date	January 16, 2004
First Named Inventor	E. Fernandez-Salas
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	ALLE0014-104 (17355CIP4(BOT))

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
CYW	BE	ADENIS, et al., J. Fr. Ophthalmol. (1990) 13:259-264.	
	BF	BINZ, et al., J. Biol. Chem. (1990) 265:9153-9158.	
	BG	BLITZER, et al., Ann. Otol. Rhinol. Laryngol. (1985) 94:581-594.	
	BH	BRIN, et al., Advances in Neurology, Vol. 50: Dystonia 2 (1988), pp. 599-608.	
	BI	CENCI DI BELLO, et al., Eur. J. Biochem. (1994) 219:161-169.	
	BJ	COHN, et al., Neurology (1987) 37 (3 Supp. 1):123-124.	
	BK	DARSOW, et al., J. Cell Biol. (1998) 142:913-922.	
	BL	ELSTON, et al., Br. J. Ophthalmol. (1985) 69:718-724.	
	BM	FAUCI, et al., "Harrison's Principles of Internal Medicine, 14 <sup>th</sup> ed., (1998) McGraw-Hill.	
	BN	ELSTON, et al., Br. J. Ophthalmol. (1985) 69:891-896.	
CYW	BO	GALLI, et al., Cell (1998) 9:1437-1448.	

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Sheet

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of

7

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Application Number	10/759,746
Filing Date	January 16, 2004
First Named Inventor	E. Fernandez-Salas
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	ALLE0014-104 (17335CIP4(BOT))

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
CYW	BP	JANCOVIC, et al., Neurology (1987) 37:616-623.	
	BQ	KADKHODAYAN, et al., Protein Express. Purif. (2000) 19:125-130.	
	BR	KOZAKI, et al., J. Med. Sci. Biol. (1981) 34:61-68.	
	BS	LACY, et al., Nat. Struct. Biol. (1998) 5:898-902.	
	BT	LI, et al., Biochemistry (1994) 33:7014-7020.	
	BU	MAISEY, et al., Eur. J. Biochem. (1988) 177:683-691.	
	BV	MARTINEZ-ARCA, et al., J. Cell Biol. (2000) 149:889-899.	
	BW	MILTON, et al., Biochemistry (1992) 31:8799-8809.	
	BX	MINTON, in Clostridial Neurotoxins: the molecular pathogenesis of tetanus and botulism, Montecucco, ed., Springer Verlag, NY, 1995, pp. 161-194.	
	BY	PEDEN, et al., J. Biol. Chem. (2001) 276:49183-49187.	
CYW	BZ	SWAIN, et al., Peptide Res. (1993) 6:147-154.	

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Sheet 5 of 7

**Complete If Known**

Application Number	10759,746
Filing Date	January 16, 2004
First Named Inventor	E. Fernandez-Salas
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	ALLE0014-104 (17355CIP4(BOT))

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CYW	CA	ZHOU, et al., Biochemistry (1995) 34:15175-15181.	
	CB	SHIN, et al., J. Biol. Chem. (1991) 266:10658-10665.	
	CC	RASIBORSKA and CHARLTON, Can. J. Physiol. (1999) 77:679-688.	
	CD	ERDAL, et al., Arch. Pharmacol. (1995) 351:67-78.	
	CE	RAGONA, et al., The Larangyscope (1999) 109:1344-1346.	
	CF	NAUMANN, et al., Eur. J. Neurol. (1999) 6:S111-S115.	
	CG	KELLER, et al., FEBS Lett. (1999) 456:137-142.	
	CH	DIETRICH, et al., J. Cell. Biol. (1997) 138:271-281.	
	CI	GEISLER, et al., J. Biol. Chem. (1998) 273:21316-21323.	
	CJ	TAN, et al., J. Biol. Chem. (1998) 273:17351-17360.	
CYW	CK	LIU, et al., Trends Cell Biol. (1999) 9:356-363.	

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Sheet 6 of 7

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Filing Date	January 16, 2004
First Named Inventor	E. Fernandez-Salas
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CYW	CL	CAI and SINGH, Biochemistry (2001) 40:4693.	
	CM	TIKKANEN, et al., Traffic (2000) 1:631-640.	
	CN	RAPAPORT, et al., EMBO J. (1998) 17:2148-2155.	
	CO	MIRANDA, et al., JBC Papers in Press (2001) 1-35.	
	CP	COWLES, et al., Cell (1997) 91:109-118.	
	CQ	AOKI, et al., J. Neurol. (2001) 248 (Supp 1):3-10.	
	CR	AOKI, Toxicon. (2001) 12:1815-1820.	
	CS	"Protein Turnover and Autograply," American Society for Cell Biology.	
	CT	WIEGAND, et al., Naunyn Schmiedberg's Arch. Pharmacol. (1976) 292:	
	CU	HABERMAN, Naunyn Schmiedberg's Arch. Pharmacol. (1974) 281:	
CYW	CV	THOMPSON, et al., Eur. J. Biochem. (1990) 189:73.	

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CYW	CW	DIBELLO, et al., Eur. J. Biochem. (1993) 219:161.	
	CX	ARNON, et al., "Botulinum toxin as a biological weapon: medical and public health management," JAMA (2001) 285:1059-1070.	
	CY	ESWARAMOORTHY, et al., "A novel mechanism for Clostridium botulinum neurotoxin inhibition," Biochemistry (2002) 41:9795-9802.	
	CZ	AOKI, et al., "Is the light chain subcellular localization an important factor for Botulinum neurotoxin duration of action?," Naunyn Schmiedebergs Arch. Pharmacol. (2002) 365 (Suppl 2):R10.	
	DA	FERNANDEZ-SALAS, et al., "Localization of BoNT light chains in neuronal and non-neuronal cell lines, implications for the duration of action of the different serotypes," Naunyn Schmiedebergs Arch. Pharmacol. (2002) 365 (Suppl 2):R19.	
CYW	DB	STEWART, et al., "BoNT/A light chain and the dileucine motif: potential implications for light chain localization and neurotoxin duration of action," Naunyn Schmiedebergs Arch. Pharmacol. (2002) 365 (Suppl 2):R44.	

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Sheet 1 of 1

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Filing Date	January 16, 2004
First Named Inventor	Lance E. Steward
Art Unit	Not Yet Assigned
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CYW	B1	FERNANDEZ-SALAS, et al., "Plasma Membrane Localization Signals in the Light Chain of Botulinum Neurotoxin," Slide presentation at USAMRDD, January, 2004.	
CYW	B2	FERNANDEZ-SALAS, et al., "Plasma membrane localization signals in the light chain of botulinum neurotoxin," Proc. Natl. Acad. Sci. USA (2004) 101:3208-3213.	
CYW	B3	FERNANDEZ-SALAS, et al., "Is the light chain subcellular localization an important factor in Botulinum toxin duration of action?" Movement Disorders (2004) 19:S23-S34.	

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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